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PTO/SB/21 (08-00)  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/753,978	
	Filing Date	January 3, 2001	
	First Named Inventor	Wayne R. Lumpkin	
	Group Art Unit	3682 - Cnfrm #1708	
	Examiner Name		
Total Number of Pages in This Submission	6	Attorney Docket Number	AVID.13-3

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Part 2 - Notice to File Missing Parts Copy of Jan. 3, 2001 Postcard Copy of Jan. 3, 2001 Declaration
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Swanson & Bratschun, L.L.C. Thomas D. Bratschun, #32,966
Signature	
Date	March 8, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span>March 8, 2001</span>			
Typed or printed name	Cindy Bennett	Date	March 8, 2001
Signature			

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	WAYNE R. LUMPKIN	}	EXAMINER:
SERIAL NO.:	09/753,978		ART UNIT: 3682
FILED:	JANUARY 3, 2001		CNFRM NO. 1708
TITLE:	FLOATING CABLE STOP FOR CABLE ACTUATED BICYCLE COMPONENT		

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box: Missing Part

Dear Sir:

**REPLY TO NOTICE TO FILE MISSING PARTS**

The applicant hereby replies to the "Notice to File Missing Parts of Application - Filing Date Granted," mailed February 20, 2001. Part 2 of the Notice is enclosed. In reply to the Notice, the original fully executed Declaration and Power of Attorney was submitted with the filing of the application on January 3, 2001, a copy of the Declaration and our return postcard are enclosed.

Withdraw of the Notice to File Missing Parts is respectfully requested.

The undersigned hereby authorizes the charge of any deficiency to deposit account no. 19-5117.

Respectfully submitted,

Thomas D. Bratschun, #32,966  
Swanson & Bratschun, L.L.C.  
1745 Shea Center Drive, Suite 330  
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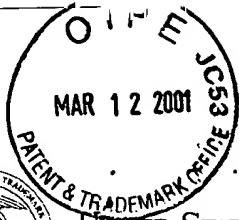
37 CFR 1.8

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Assistant Commissioner for Patents, Washington, D.C. 20231, Box Missing Part, on March 8, 2001

Signature:  
Name:

  
Cindy Bennett



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,978	01/03/2001	Wayne R. Lumpkin	AVID.13-3

25871  
SWANSON & BRATSCHUN L.L.C.  
1745 SHEA CENTER DRIVE  
SUITE 330  
HIGHLANDS RANCH, CO 80129

CONFIRMATION NO. 1708

## FORMALITIES LETTER



\*OC00000005779320\*

Date Mailed: 02/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE